

# County of Los Angeles CHIEF EXECUTIVE OFFICE

Kenneth Hahn Hall of Administration 500 West Temple Street, Room 713, Los Angeles, California 90012 (213) 974-1101 http://ceo.lacounty.gov

> Board of Supervisors GLORIA MOLINA First District

MARK RIDLEY-THOMAS Second District

ZEV YAROSLAVSKY Third District

DON KNABE Fourth District

MICHAEL D. ANTONOVICH Fifth District

January 17, 2014

To:

Supervisor Don Knabe, Chairman

Supervisor Gloria Molina

Supervisor Mark Ridley-Thomas Supervisor Zev Yaroslavsky

Supervisor Michael D. Antonovich

From:

William T Fujioka

**Chief Executive Officer** 

NOTIFICATION OF THE USE OF DELEGATED AUTHORITY TO ENTER INTO AN AGREEMENT WITH THE ADVANCEMENT PROJECT TO PROVIDE A DE-IDENTIFIED DATA MATCH FOR THE PROBATION OUTCOMES STUDY

The Chief Executive Office (CEO) is providing notice of its intent to utilize the delegated authority granted by the Board on March 20, 2012. This authority allows the CEO to negotiate and enter into various research agreements and accept funds up to a total of \$200,000, for services of the CEO's Research and Evaluation Services (RES) unit and de-identified data from the County's Enterprise Linkages Project (ELP) data warehouse.

Under this authority, our Office will enter into an Agreement with the Advancement Project (Attachment 1), to conduct a data match for a Probation Outcomes study (Study). This Study will evaluate the youth and their families' outcomes before, during and after they are in the care of the Probation Department of the County of Los Angeles. De-identified data from the County's ELP data warehouse will be used, upon consent of participating departments and their respective County Counsels, for this Study. The data match will provide information on risk factors of Probation youth in juvenile camps and in suitable placement, and will assist in the quantitative analysis of the outcomes for these youth. The sum of \$60,000 will be paid to the County through a grant received by the Advancement Project from the W.M. Keck Foundation (Attachment 2).

Each Supervisor January 17, 2014 Page 2

To date, we have accepted \$15,000 of the \$200,000 authorized by the Board for various research agreements, leaving a remaining balance of \$185,000. Following approval, this \$60,000 will be deducted from the remaining balance.

Some of the standard County terms in this agreement have been altered to reflect the County as the service provider. The following terms have been modified or deleted, based on this unique contract set up:

- Approval of Contractor's Staff
- Budget Reductions
- Liquidated Damages
- Termination for Improper Consideration
- Termination for Non Appropriation of Funds
- · Licenses, Permits, Registrations, and Certificates

County Counsel has reviewed and approved this agreement as to form.

If we do not receive any objections to this Study by February 3, 2014, we will move forward with executing this agreement. If you have any questions, please contact Antonia Jiménez at (213) 974-7365, or via e-mail at ajimenez@ceo.lacounty.gov.

WTF:AJ:CDM NS:eb

Attachments (2)

c: County Counsel Auditor-Controller Executive Officer, Board of Supervisors

AP Notification of Delegated Authority\_January\_2014



### **AGREEMENT FOR**

### Enterprise Linkages Project Data Match for Probation Outcomes Study

### **BETWEEN**

THE COUNTY OF LOS ANGELES AND

ADVANCEMENT PROJECT

AGREEMENT NUMBER: AO-14-027

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#### AGREEMENT FOR ENTERPRISE LINKAGES PROJECT DATA MATCH FOR PROBATION OUTCOMES STUDY

#### AGREEMENT NUMBER: AO-14-027

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# CONTRACT BETWEEN COUNTY OF LOS ANGELES

#### AND

#### ADVANCEMENT PROJECT

#### **FOR**

# ENTERPRISE LINKAGE PROJECT DATA MATCH FOR PROBATION OUTCOMES STUDY

This CONTRA	ACT is entere	d into this	da	ay of	20	), by
and between	the County	of Los Ang	eles (hereafte	r "COUNTY")	and A	dvancement
Project (here	after referred	to as "CON	TRACTOR""),	to receive CO	UNTY	professional
services						

#### **RECITALS**

WHEREAS, CONTRACTOR desires to acquire, and COUNTY desires to provide to CONTRACTOR professional services.

WHEREAS, COUNTY has extensive experience in its specialized field.

WHEREAS, the COUNTY Board of Supervisors authorized the Chief Executive Officer ("CEO")to enter into contracts for such specialized professional services on March 20, 2012.

NOW, THEREFORE, COUNTY and CONTRACTOR agree as follows:

#### 1.0 APPLICABLE DOCUMENTS

Exhibits A, B C, D, E, F and G are attached to and form a part of this Contract. In the event of any conflict or inconsistency in the definition or interpretation of any word, responsibility, schedule, or the contents or description of any task, deliverable, goods, service, or other work, or otherwise between the base Contract and the Exhibits, or between Exhibits, such conflict or inconsistency shall be resolved by giving precedence first to the Contract and then to the Exhibits according to the following priority.

#### Standard Exhibits:

- 1.1 EXHIBIT A Statement of Work
- 1.2 EXHIBIT B Pricing Schedule
- 1.3 EXHIBIT C Contractor's EEO Certification
- 1.4 EXHIBIT D Contractor Employee Acknowledgement and Confidentiality
  Agreement
- 1.5 EXHIBIT E Invitation For Bid/Request For Proposals Grounds For Rejection
- 1.6 EXHIBIT F Safely Surrendered Baby Law

1.7 EXHIBIT G - Contractor Non-Employee Acknowledgement and Confidentiality Agreement

This Contract and the Exhibits hereto constitute the complete and exclusive statement of understanding between the parties, and supersedes all previous Contracts, written and oral, and all communications between the parties relating to the subject matter of this Contract. No change to this Contract shall be valid unless prepared pursuant to sub-paragraph 8.1 - Amendments and signed by both parties.

#### 2.0 DEFINITIONS

The headings herein contained are for convenience and reference only and are not intended to define the scope of any provision thereof. The following words as used herein shall be construed to have the following meaning, unless otherwise apparent from the context in which they are used.

- 2.1 "Agreement or Contract" shall mean a contract executed between COUNTY and CONTRACTOR. It sets forth the terms and conditions for the issuance and performance of the Exhibit A Statement of Work.
- 2.2 "CONTRACTOR" shall mean the sole proprietor, partnership, or corporation that has entered into a contract with the COUNTY to acquire the work covered by the Exhibit A Statement of Work.
- 2.3 "Day(s)" shall mean calendar day(s) unless otherwise specified.
- 2.4 **"Fiscal Year"** shall mean the twelve (12) month period beginning July 1st and ending the following June 30th.

#### 3.0 WORK

Pursuant to the provisions of this Contract, COUNTY shall fully perform, complete and deliver on time, all tasks, deliverables, services and other work as set forth in herein Exhibit A - Statement of Work. COUNTY shall review all draft reports or publications and provide comments within thirty (30) days of receipt from CONTRACTOR. CONTRACTOR is to incorporate the COUNTY's comments and change requests in all reports and publications, and resubmit for approval by COUNTY prior to any dissemination or publication.

#### 4.0 TERM OF CONTRACT

The term of this Contract shall commence one day following execution of this Contract by COUNTY and shall expire on December, 31 2014, subject to the COUNTY's right to terminate this Contract as set forth herein.

#### 5.0 CONTRACT SUM

5.1 The Maximum Amount of this Contract shall be \$60,000 for the term of this Contract as set forth Paragraph 4.0 - Term of Contract, above.

#### 5.2 Invoices and Payments

- The COUNTY shall invoice the CONTRACTOR only for 5.2.1 providing the tasks, deliverables, goods, services, and other work specified in Exhibit A - Statement of Work and elsewhere hereunder. The COUNTY shall prepare invoices, which shall include the charges owed to the COUNTY. Payment to COUNTY shall be in arrears and based upon the approval and acceptance of services/deliverables as set forth in Exhibit A -Statement of Work, not to exceed the amounts reflected in Exhibit B - Pricing Schedule, provided that COUNTY is not in default under any provision of this Contract and has submitted a accurate statement of payment and CONTRACTOR acceptance of services/deliverables shall not be unreasonably withheld. COUNTY's fees shall include all applicable taxes, and any additional taxes that are not included remain the responsibility of the COUNTY.
- 5.2.2 The COUNTY's invoices shall be priced in accordance with Exhibit B Pricing Schedule.
- 5.2.3 The COUNTY's invoices shall contain the information set forth in Exhibit A Statement of Work describing the tasks, deliverables, goods, services, work hours, and facility and/or other work for which payment is claimed.

The COUNTY shall submit the monthly invoices to the CONTRACTOR by the 15<sup>th</sup> calendar day of the month following the month of service. CONTRACTOR shall provide payment to COUNTY within 15 calendar days.

#### 6.0 ADMINISTRATION OF CONTRACT – COUNTY

**COUNTY Project Manager** – The COUNTY Project Manager shall be responsible for monitoring CONTRACTOR's payment for the services/deliverables as set forth in Exhibit A – Statement of Work of the Contract and provide direction to CONTRACTOR in the areas relating to policy, procedures and other matters within the purview of this Contract. The COUNTY Project Manager for this Contract shall be Max Stevens, or his/her designee.

#### 7.0 ADMINISTRATION OF CONTRACT - CONTRACTOR

#### 7.1 CONTRACTOR Project Manager

- 7.1.1 The CONTRACTOR Project Manager shall be responsible for the CONTRACTOR's day-to-day activities as related to this Contract and shall coordinate with COUNTY Project Manager on a regular basis. The CONTRACTOR Project Manager for this Contract shall be Susan Lee.
- 7.1.2 The CONTRACTOR shall notify the COUNTY in writing of any change in the name or address of the CONTRACTOR Project Manager within ten (10) business days.

#### 7.2 Approval of COUNTY's Staff

COUNTY shall provide qualified personnel to perform work and provide "work products" (deliverables) as indicated in the Agreement. CONTRACTOR has the absolute right to approve or disapprove all of the COUNT'S staff performing work hereunder and any proposed changes in the COUNTY's staff, including, but not limited to, the COUNTY Project Manager.

#### 7.3 Background and Security Investigations

- 7.3.1 At any time prior to or during term of this Contract, the COUNTY may require that all CONTRACTOR's staff involved with this Contract undergo and pass, to the satisfaction of COUNTY, a background investigation, as a condition of beginning and continuing to work under this Contract. COUNTY shall use its discretion in determining the method of background clearance to be used, up to and including a COUNTY performed fingerprint security clearance. The fees associated with obtaining the background information shall be at the expense of the CONTRACTOR, regardless if the CONTRACTOR's staff passes or fails the background clearance investigation.
- 7.3.2 COUNTY may request that the CONTRACTOR's staff be immediately removed from working on this Contract at any time during the term of this Contract. COUNTY will not provide to the CONTRACTOR or to the CONTRACTOR's staff any information obtained through the COUNTY conducted background clearance.
- 7.3.3 COUNTY may immediately, at the sole discretion of the COUNTY, deny or terminate facility access to the CONTRACTOR's staff that do not pass such investigation(s) to the satisfaction of the COUNTY whose background or conduct is incompatible with COUNTY facility access.

#### 8.0 STANDARD TERMS AND CONDITIONS

#### 8.1 AMENDMENTS

- 8.1.1 Any amendment to this Contract, including as set forth in Paragraph 4.0 Term of Contract, shall be at the mutual consent of the COUNTY and the CONTRACTOR and shall be executed by the CEO for COUNTY, an authorized representative for CONTRACTOR, and approved as to form by County Counsel.
- 8.1.2 For any change which does not materially affect the scope of work or any other term or condition included under this Contract, a Change Notice shall be prepared and signed by the COUNTY Project Manager and CONTRACTOR Project Manager.
- 8.1.3 For any change affecting CONTRACTOR's project personnel, CONTRACTOR shall submit written notification within ten (10) business days and request to effect the change to the COUNTY Project Manager; the COUNTY Project Manager or designee may accept or reject CONTRACTOR's written notification and request.

#### 8.2 ASSIGNMENTS AND DELEGATION

- 8.2.1 The CONTRACTOR shall not assign its rights or delegate its duties under this Contract, or both, whether in whole or in part, without the prior written consent of COUNTY, which is in its sole discretion to grant or not. Any attempted assignment or delegation without such written consent shall be null and void. For purposes of this sub-paragraph, COUNTY consent shall require a written amendment to the Contract, which is formally approved and executed by the parties.
- 8.2.2 Shareholders, partners, members, or other equity holders of CONTRACTOR may transfer, sell, exchange, assign, or divest themselves of any interest they may have therein. However, in the event any such sale, transfer, exchange, assignment, or divestment is effected in such a way as to give majority control of CONTRACTOR to any person(s), corporation, partnership, or legal entity other than the majority controlling interest therein at the time of execution of the Contract, such disposition is an assignment requiring an Amendment in accordance with all applicable provisions of this Contract, including the need for an Amendment.
- 8.2.3 Any assumption, assignment, delegation, or takeover of any of the CONTRACTOR's duties, responsibilities, obligations, or performance of same by any entity other than the CONTRACTOR, whether through assignment, subcontract, delegation, merger, buyout, or any other mechanism, with or without consideration for any reason whatsoever without

COUNTY's express prior written approval, shall be a material breach of the Contract which may result in the termination of this Contract. In the event of such termination, COUNTY shall be entitled to pursue the same remedies against CONTRACTOR as it could pursue in the event of default by CONTRACTOR.

#### 8.3 AUTHORIZATION WARRANTY

The CONTRACTOR represents and warrants that the person executing this Contract for the CONTRACTOR is an authorized agent who has actual authority to bind the CONTRACTOR to each and every term, condition, and obligation of this Contract and that all requirements of the CONTRACTOR have been fulfilled to provide such actual authority.

#### 8.4 COMPLIANCE WITH APPLICABLE LAW

- 8.4.1 The CONTRACTOR shall comply with all applicable Federal, State, and local laws, rules, regulations, ordinances, and directives, and all provisions required thereby to be included in this Contract are hereby incorporated herein by reference.
- 8.4.2 The CONTRACTOR shall indemnify and hold harmless the COUNTY from and against any and all liability, damages, costs, and expenses, including, but not limited to, defense costs and attorneys' fees, arising from or related to any violation on the part of the CONTRACTOR or its employees, agents, or subcontractors of any such laws, rules, regulations, ordinances, or directives.

#### 8.5 COMPLIANCE WITH CIVIL RIGHTS LAWS

The CONTRACTOR hereby assures that it will comply with Subchapter VI of the Civil Rights Act of 1964, 42 USC Sections 2000 (e) (1) through 2000 (e) (17), to the end that no person shall, on the grounds of race, creed, color, sex, religion, ancestry, age, condition of physical handicap, marital status, political affiliation, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under this Contract or under any project, program, or activity supported by this Contract. The CONTRACTOR shall comply with Exhibit C - Contractor's EEO Certification.

#### 8.6 COMPLIANCE WITH THE COUNTY'S JURY SERVICE PROGRAM

8.6.1 Jury Service Program:

This Contract is subject to the provisions of the County's ordinance entitled CONTRACTOR Employee Jury Service ("Jury Service Program") as codified in Sections 2.203.010 through 2.203.090 of the Los Angeles County Code.

#### 8.6.2 Written Employee Jury Service Policy

- Unless the CONTRACTOR has demonstrated to the 8.6.2.1 COUNTY's satisfaction either that the CONTRACTOR is not a "Contractor" as defined under the Jury Service Program (Section 2.203.020 of the County Code) or that the CONTRACTOR qualifies for an exception to the Jury Service Program (Section 2.203.070 of the County Code), the CONTRACTOR shall have and adhere to a written policy that provides that its Employees shall receive from the CONTRACTOR, on an annual basis, no less than five days of regular pay for actual jury service. The policy may provide that Employees deposit any fees received for such jury service with the CONTRACTOR or that the CONTRACTOR deduct from the Employee's regular pay the fees received for jury service.
- 8.6.2.2 For purposes of this sub-paragraph, "Contractor" means a person, partnership, corporation or other entity which has a contract with the COUNTY or a subcontract with a COUNTY CONTRACTOR and has received or will receive an aggregate sum of \$50,000 or more in any 12-month period under one or more COUNTY contracts or subcontracts. "Employee" means any California resident who is a full-time employee of the CONTRACTOR. "Full-time" means 40 hours or more worked per week, or a lesser number of hours if: 1) the lesser number is a recognized industry standard as determined by the COUNTY, or 2) CONTRACTOR has a long-standing practice that defines the lesser number of hours as full-time. Fulltime employees providing short-term, temporary services of 90 days or less within a 12-month period are not considered full-time for purposes of the Jury Service Program. If the CONTRACTOR uses any Subcontractor to perform services for the COUNTY under the Contract, the Subcontractor shall also be subject to the provisions of this sub-paragraph. The provisions of this sub-paragraph shall be inserted into any such subcontract Agreement and a copy of the Jury Service Program shall be attached to the Agreement.
- 8.6.2.3 If the CONTRACTOR is not required to comply with the Jury Service Program when the Contract commences, the CONTRACTOR shall have a continuing obligation to review the applicability of its "exception status" from the Jury Service Program, and the CONTRACTOR shall immediately notify the COUNTY if the CONTRACTOR at any time either

comes within the Jury Service Program's definition of "Contractor" or if the CONTRACTOR no longer qualifies for an exception to the Jury Service Program. In either event, the CONTRACTOR shall immediately implement a written policy consistent with the Jury Service Program. The COUNTY may also require, at any time during the Contract and at its sole discretion, that the CONTRACTOR demonstrate to the COUNTY's satisfaction that the CONTRACTOR either continues to remain outside of the Jury Service Program's definition of "Contractor" and/or that the CONTRACTOR continues to qualify for an exception to the Program.

8.6.2.4 CONTRACTOR's violation of this sub-paragraph of the Contract may constitute a material breach of the Contract. In the event of such material breach, COUNTY may, in its sole discretion, terminate the Contract and/or bar the CONTRACTOR from the award of future COUNTY contracts for a period of time consistent with the seriousness of the breach.

#### 8.7 CONFLICT OF INTEREST

- 8.7.1 No CONTRACTOR employee whose position enables such employee to influence the award of this Contract or any competing Contract, and no spouse or economic dependent of such employee, shall be employed in any capacity by the COUNTY or have any other direct or indirect financial interest in this Contract. No officer or employee of the COUNTY who may financially benefit from the performance of work hereunder shall in any way participate in the CONTRACTOR's approval, or ongoing evaluation, of such work, or in any way attempt to unlawfully influence the CONTRACTOR's approval or ongoing evaluation of such work.
- 8.7.2 The CONTRACTOR shall comply with all conflict of interest laws, ordinances, and regulations now in effect or hereafter to be enacted during the term of this Contract. The CONTRACTOR warrants that it is not now aware of any facts that create a conflict of interest. If the CONTRACTOR hereafter becomes aware of any facts that might reasonably be expected to create a conflict of interest, it shall immediately make full written disclosure of such facts to the COUNTY. Full written disclosure shall include, but is not limited to, identification of all persons implicated and a complete description of all relevant circumstances. Failure to comply with the provisions of this subparagraph shall be a material breach of this Contract.

## 8.8 CONSIDERATION OF HIRING COUNTY EMPLOYEES TARGETED FOR LAYOFF/OR RE-EMPLOYMENT LIST

Should the CONTRACTOR require additional or replacement personnel after the effective date of this Contract, the CONTRACTOR shall give first consideration for such employment openings to qualified, permanent COUNTY employees who are targeted for layoff or qualified, former COUNTY employees who are on a re-employment list during the life of this Contract.

### 8.9 CONSIDERATION OF HIRING GAIN/GROW PROGRAM PARTICIPANTS

- 8.9.1 Should the CONTRACTOR require additional or replacement personnel after the effective date of this Contract, the CONTRACTOR shall give consideration for any such employment openings to participants in the COUNTY's Department of Public Social Services Greater Avenues for Independence (GAIN) Program or General Relief Opportunity for Work (GROW) Program who meet the CONTRACTOR's minimum qualifications for the open position. For this purpose, consideration shall mean that the CONTRACTOR will interview qualified candidates. The COUNTY will refer GAIN/GROW participants by job category to the Contractor.
- 8.9.2 In the event that both laid-off COUNTY employees and GAIN/GROW participants are available for hiring, COUNTY employees shall be given first priority.

#### 8.10 CONTRACTOR RESPONSIBILITY AND DEBARMENT

8.10.1 Responsible CONTRACTOR

A responsible CONTRACTOR is a CONTRACTOR who has demonstrated the attribute of trustworthiness, as well as quality, fitness, capacity and experience to satisfactorily perform the contract. It is the COUNTY's policy to conduct business only with responsible CONTRACTORS.

8.10.2 Chapter 2.202 of the County Code

The CONTRACTOR is hereby notified that, in accordance with Chapter 2.202 of the County Code, if the COUNTY acquires information concerning the performance of the CONTRACTOR on this or other contracts which indicates that the CONTRACTOR is not responsible, the COUNTY may, in addition to other remedies provided in the Contract, debar the CONTRACTOR from bidding or proposing on, or being awarded, and/or performing work on COUNTY contracts for a specified period of time, which generally will not exceed five years but may exceed five years or be permanent if warranted by the

circumstances, and terminate any or all existing Contracts the CONTRACTOR may have with the COUNTY.

#### 8.10.3 Non-responsible CONTRACTOR

The COUNTY may debar a CONTRACTOR if the Board of Supervisors finds, in its discretion, that the CONTRACTOR has done any of the following: (1) violated a term of a contract with the COUNTY or a nonprofit corporation created by the COUNTY, (2) committed an act or omission which negatively reflects on the CONTRACTOR's quality, fitness or capacity to perform a contract with the COUNTY, any other public entity, or a nonprofit corporation created by the COUNTY, or engaged in a pattern or practice which negatively reflects on same, (3) committed an act or offense which indicates a lack of business integrity or business honesty, or (4) made or submitted a false claim against the COUNTY or any other public entity.

#### 8.10.4 Contractor Hearing Board

- 8.10.4.1 If there is evidence that the Contractor may be subject to debarment, the Department will notify the Contractor in writing of the evidence which is the basis for the proposed debarment and will advise the Contractor of the scheduled date for a debarment hearing before the Contractor Hearing Board.
- 8.10.4.2 The Contractor Hearing Board will conduct a hearing where evidence on the proposed debarment is presented. The Contractor and/or the Contractor's representative shall be given an opportunity to submit evidence at that hearing. After the hearing, the Contractor Hearing Board shall prepare a tentative proposed decision, which shall contain recommendation regarding whether the Contractor should be debarred, and, if so, the appropriate length of time of the debarment. The Contractor and the Department shall be provided an opportunity to object to the tentative proposed decision prior to its presentation to the Board of Supervisors.
- 8.10.4.3 After consideration of any objections, or if no objections are submitted, a record of the hearing, the proposed decision, and any other recommendation of the Contractor Hearing Board shall be presented to the Board of Supervisors. The Board of Supervisors shall have the right to modify, deny, or adopt the proposed decision and recommendation of the Contractor Hearing Board.

- 8.10.4.4 If a Contractor has been debarred for a period longer than five (5) years, that Contractor may after the debarment has been in effect for at least five (5) years, submit a written request for review of the debarment determination to reduce the period of debarment or terminate the debarment. The COUNTY may, in its discretion, reduce the period of debarment or terminate the debarment if it finds that the Contractor has adequately demonstrated one or more of the following: (1) elimination of the grounds for which the debarment was imposed; (2) a bona fide change in ownership or management; (3) material evidence discovered after debarment was imposed; or (4) any other reason that is in the best interests of the COUNTY.
- 8.10.4.5 The Contractor Hearing Board will consider a request for review of a debarment determination only where (1) the Contractor has been debarred for a period longer than five (5) years; (2) the debarment has been in effect for at least five (5) years; and (3) the request is in writing, states one or more of the grounds for reduction of the debarment period or termination of the debarment, and includes supporting documentation. Upon receiving an appropriate request, the Contractor Hearing Board will provide notice of the hearing on the request. At the hearing, the Contractor Hearing Board shall conduct a hearing where evidence on the proposed reduction of debarment period or termination of debarment is presented. This hearing shall be conducted and the request for review decided by the Contractor Hearing Board pursuant to the same procedures as for a debarment hearing.
- 8.10.4.6 The Contractor Hearing Board's proposed decision shall contain a recommendation on the request to reduce the period of debarment or terminate the debarment. The Contractor Hearing Board shall present its proposed decision and recommendation to the Board of Supervisors. The Board of Supervisors shall have the right to modify, deny, or adopt the proposed decision and recommendation of the Contractor Hearing Board.

#### 8.10.4.7 Subcontractors of CONTRACTOR

These terms shall also apply to Subcontractors of COUNTY CONTRACTORS.

### 8.11 CONTRACTOR'S ACKNOWLEDGEMENT OF COUNTY'S COMMITMENT TO THE SAFELY SURRENDERED BABY LAW

The CONTRACTOR acknowledges that the COUNTY places a high priority on the implementation of the Safely Surrendered Baby Law. The CONTRACTOR understands that it is the COUNTY's policy to encourage all COUNTY CONTRACTORS to voluntarily post the County's "Safely Surrendered Baby Law" poster in a prominent position at the CONTRACTOR's place of business. The CONTRACTOR will also encourage its Subcontractors, if any, to post this poster in a prominent position in the Subcontractor's place of business. The COUNTY's Department of Children and Family Services will supply the CONTRACTOR with the poster to be used. Information on how to receive the poster can be found on the Internet at <a href="https://www.babysafela.org">www.babysafela.org</a>.

### 8.12 CONTRACTOR'S WARRANTY OF ADHERENCE TO COUNTY'S CHILD SUPPORT COMPLIANCE PROGRAM

- 8.12.1 The CONTRACTOR acknowledges that the COUNTY has established a goal of ensuring that all individuals who benefit financially from the COUNTY through Contract are in compliance with their court-ordered child, family and spousal support obligations in order to mitigate the economic burden otherwise imposed upon the COUNTY and its taxpayers.
- 8.12.2 As required by the COUNTY's Child Support Compliance Program (County Code Chapter 2.200) and without limiting the Contractor's duty under this Contract to comply with all applicable provisions of law, the CONTRACTOR warrants that it is now in compliance and shall during the term of this Contract maintain in compliance with employment and wage reporting requirements as required by the Federal Social Security Act (42 USC Section 653a) and California Unemployment Insurance Code Section 1088.5, and shall implement all lawfully served Wage and Earnings Withholding Orders or Child Support Services Department Notices of Wage and Earnings Assignment for Child, Family or Spousal Support, pursuant to Code of Civil Procedure Section 706.031 and Family Code Section 5246(b).

## 8.13 CONTRACTOR'S WARRANTY OF COMPLIANCE WITH COUNTY'S DEFAULTED PROPERTY TAX REDUCTION PROGRAM

CONTRACTOR acknowledges that COUNTY has established a goal of ensuring that all individuals and businesses that benefit financially from COUNTY through contract are current in paying their property tax obligations (secured and unsecured roll) in order to mitigate the economic burden otherwise imposed upon COUNTY and its taxpayers.

Unless CONTRACTOR qualifies for an exemption or exclusion, CONTRACTOR warrants and certifies that to the best of its knowledge it

is now in compliance, and during the term of this contract will maintain compliance, with Los Angeles County Code Chapter 2.206.

#### 8.14 COUNTY'S QUALITY ASSURANCE PLAN

COUNTY or its agent will evaluate CONTRACTOR's performance under this Contract on not less than an annual basis. Such evaluation will include assessing CONTRACTOR's compliance with all Contract terms CONTRACTOR deficiencies which and performance standards. COUNTY determines are severe or continuing and that may place performance of the Contract in jeopardy if not corrected will be reported to Supervisors. The report will Board of improvements/corrective action measures taken by COUNTY and CONTRACTOR. If improvement does not occur consistent with the corrective action measures, COUNTY may terminate this Contract or impose other penalties as specified in this Agreement.

#### 8.15 DAMAGE TO COUNTY FACILITIES, BUILDINGS OR GROUNDS

- 8.15.1 The CONTRACTOR shall repair, or cause to be repaired, at its own cost, any and all damage to COUNTY facilities, buildings, or grounds caused by the CONTRACTOR or employees or agents of the CONTRACTOR. Such repairs shall be made immediately after the CONTRACTOR has become aware of such damage, but in no event later than thirty (30) days after the occurrence.
- 8.15.2 If the CONTRACTOR fails to make timely repairs, COUNTY may make any necessary repairs. All costs incurred by COUNTY, as determined by COUNTY, for such repairs shall be repaid by the CONTRACTOR by cash payment upon demand.

#### 8.16 EMPLOYMENT ELIGIBILITY VERIFICATION

- 8.16.1 The CONTRACTOR warrants that it fully complies with all Federal and State statutes and regulations regarding the employment of aliens and others and that all its employees performing work under this Contract meet the citizenship or alien status requirements set forth in Federal and State statutes and regulations. The CONTRACTOR shall obtain, from all employees performing work hereunder, all verification and other documentation of employment eligibility status required by Federal and State statutes and regulations including, but not limited to, the Immigration Reform and Control Act of 1986, (P.L. 99-603), or as they currently exist and as they may be hereafter The CONTRACTOR shall retain all such amended. documentation for all covered employees for the period prescribed by law.
- 8.16.2 The CONTRACTOR shall indemnify, defend, and hold harmless, the COUNTY, its agents, officers, and employees from employer sanctions and any other liability which may be assessed against

the CONTRACTOR or the COUNTY or both in connection with any alleged violation of any Federal or State statutes or regulations pertaining to the eligibility for employment of any persons performing work under this Contract.

#### 8.17 FACSIMILE REPRESENTATIONS

The COUNTY and the CONTRACTOR hereby agree to regard facsimile representations of original signatures of authorized officers of each party, when appearing in appropriate places on the Amendments prepared pursuant to sub-paragraph 8.1, and received via communications facilities, as legally sufficient evidence that such original signatures have been affixed to Amendments to this Contract, such that the parties need not follow up facsimile transmissions of such documents with subsequent (non-facsimile) transmission of "original" versions of such documents.

#### 8.18 FAIR LABOR STANDARDS

The CONTRACTOR shall comply with all applicable provisions of the Federal Fair Labor Standards Act and shall indemnify, defend, and hold harmless the COUNTY and its agents, officers, and employees from any and all liability, including, but not limited to, wages, overtime pay, liquidated damages, penalties, court costs, and attorneys' fees arising under any wage and hour law, including, but not limited to, the Federal Fair Labor Standards Act, for work performed by the CONTRACTOR's employees for which the COUNTY may be found jointly or solely liable.

#### 8.19 FORCE MAJEURE

- 8.19.1 Neither party shall be liable for such party's failure to perform its obligations under and in accordance with this Contract, if such failure arises out of fires, floods, epidemics, quarantine restrictions, other natural occurrences, strikes, lockouts (other than a lockout by such party or any of such party's subcontractors), freight embargoes, or other similar events to those described above, but in every such case the failure to perform must be totally beyond the control and without any fault or negligence of such party (such events are referred to in this sub-paragraph as "force majeure events").
- 8.19.2 Notwithstanding the foregoing, a default by a subcontractor of CONTRACTOR shall not constitute a force majeure event, unless such default arises out of causes beyond the control of both CONTRACTOR and such subcontractor, and without any fault or negligence of either of them. In such case, CONTRACTOR shall not be liable for failure to perform, unless the goods or services to be furnished by the subcontractor were obtainable from other sources in sufficient time to permit CONTRACTOR to meet the required performance schedule. As used in this sub-paragraph, the term "subcontractor" and "subcontractors" mean subcontractors at any tier.

8.19.3 In the event CONTRACTOR's failure to perform arises out of a force majeure event, CONTRACTOR agrees to use commercially reasonable best efforts to obtain goods or services from other sources, if applicable, and to otherwise mitigate the damages and reduce the delay caused by such force majeure event.

#### 8.20 GOVERNING LAW, JURISDICTION, AND VENUE

This Contract shall be governed by, and construed in accordance with, the laws of the State of California. The CONTRACTOR agrees and consents to the exclusive jurisdiction of the courts of the State of California for all purposes regarding this Contract and further agrees and consents that venue of any action brought hereunder shall be exclusively in the County of Los Angeles.

#### 8.21 INDEPENDENT CONTRACTOR STATUS

- 8.21.1 This Contract is by and between the COUNTY and the CONTRACTOR and is not intended, and shall not be construed, to create the relationship of agent, servant, employee, partnership, joint venture, or association, as between the COUNTY and the CONTRACTOR. The employees and agents of one party shall not be, or be construed to be, the employees or agents of the other party for any purpose whatsoever.
- 8.21.2 Each party shall be solely liable and responsible for providing to, or on behalf of, all persons performing work pursuant to this Contract all compensation and benefits. The COUNTY shall have no liability or responsibility for the payment of any salaries, wages, unemployment benefits, disability benefits, Federal, State, or local taxes, or other compensation, benefits, or taxes for any personnel provided by or on behalf of the CONTRACTOR.
- 8.21.3 Each party understands and agrees that all persons performing work pursuant to this Contract from their entity are, for purposes of Workers' Compensation liability, solely employees of the CONTRACTOR and not employees of the COUNTY. The CONTRACTOR shall be solely liable and responsible for furnishing any and all Workers' Compensation benefits to any person as a result of any injuries arising from or connected with any work performed by or on behalf of the CONTRACTOR pursuant to this Contract.
- 8.21. The CONTRACTOR shall adhere to the provisions stated in subparagraph 8.33 - Confidentiality.

#### 8.22 INDEMNIFICATION

The CONTRACTOR shall indemnify, defend and hold harmless the County, its Special Districts, elected and appointed officers, employees, agents and volunteers ("County Indemnitees") from and against any and all liability, including but not limited to demands, claims, actions, fees, costs and expenses (including attorney and expert witness fees), arising from and/or relating to this Contract, except for such loss or damage arising from the sole negligence or willful misconduct of the County Indemnitees.

#### 8.23 GENERAL INSURANCE REQUIREMENTS

Without limiting CONTRACTOR's indemnification of COUNTY, and in the performance of this Contract and until all of its obligations pursuant to this Contract have been met, CONTRACTOR shall provide and maintain at its own expense insurance coverage satisfying the requirements specified in Sections 8.23 and 8.24 of this Contract. These minimum insurance coverage terms, types and limits (the "Required Insurance") also are in addition to and separate from any other contractual obligation imposed upon CONTRACTOR pursuant to this Contract. The COUNTY in no way warrants that the Required Insurance is sufficient to protect the CONTRACTOR for liabilities which may arise from or relate to this Contract.

#### 8.23.1 Evidence of Coverage and Notice to COUNTY

A certificate(s) of insurance coverage (Certificate) satisfactory to COUNTY, and a copy of an Additional Insured endorsement confirming COUNTY and its Agents (defined below) has been given Insured status under the CONTRACTOR's General Liability policy, shall be delivered to COUNTY at the address shown below and provided prior to commencing services under this Contract.

Renewal Certificates shall be provided to COUNTY not less than 10 days prior to CONTRACTOR's policy expiration dates. The COUNTY reserves the right to obtain complete, certified copies of any required CONTRACTOR and/or Subcontractor insurance policies at any time.

Certificates shall identify all Required Insurance coverage types and limits specified herein, reference this Contract by name or number, and be signed by an authorized representative of the insurer(s). The Insured party named on the Certificate shall match the name of the CONTRACTOR identified as the contracting party in this Contract. Certificates shall provide the full name of each insurer providing coverage, its NAIC (National Association of Insurance Commissioners) identification number, its financial rating, the amounts of any policy deductibles or self-insured retentions exceeding fifty thousand (\$50,000.00) dollars, and list any COUNTY required endorsement forms.

Neither the COUNTY's failure to obtain, nor the COUNTY's receipt of, or failure to object to a non-complying insurance certificate or endorsement, or any other insurance documentation or information provided by the CONTRACTOR, its insurance broker(s) and/or insurer(s), shall be construed as a waiver of any of the Required Insurance provisions.

Certificates and copies of any required endorsements shall be sent to:

County of Los Angeles Chief Executive Office, Service Integration Branch 222 S. Hill Street, Fifth Floor Los Angeles, CA 90012 Attention of: Karen Herberts

CONTRACTOR also shall promptly report to COUNTY any injury or property damage accident or incident, including any injury to a CONTRACTOR employee occurring on COUNTY property, and any loss, disappearance, destruction, misuse, or theft of COUNTY property, monies or securities entrusted to CONTRACTOR. CONTRACTOR also shall promptly notify COUNTY of any third party claim or suit filed against CONTRACTOR or any of its Subcontractors which arises from or relates to this Contract, and could result in the filing of a claim or lawsuit against CONTRACTOR and/or COUNTY.

## 8.23.2 Additional Insured Status and Scope of Coverage The County of Los Angeles, its Special Districts, Elected

Officials, Officers, Agents, Employees and Volunteers (collectively COUNTY and its Agents) shall be provided additional insured status under CONTRACTOR's General Liability policy with respect to liability arising out of CONTRACTOR's ongoing and completed operations performed on behalf of the COUNTY. COUNTY and its Agents additional insured status shall apply with respect to liability and defense of suits arising out of the CONTRACTOR's acts or omissions, whether such liability is attributable to the CONTRACTOR or to the COUNTY. The full policy limits and scope of protection also shall apply to the COUNTY and its Agents as an additional insured, even if they exceed the COUNTY's minimum Required Insurance specifications herein. Use of an automatic additional insured endorsement form is acceptable providing it satisfies the Required Insurance provisions herein.

#### 8.23.3 Cancellation of or Changes in Insurance

Contractor shall provide County with, or Contractor's insurance policies shall contain a provision that County shall receive, written notice of cancellation or any change in Required Insurance, including insurer, limits of coverage, term of coverage

or policy period. The written notice shall be provided to County at least ten (10) days in advance of cancellation for non-payment of premium and thirty (30) days in advance for any other cancellation or policy change. Failure to provide written notice of cancellation or any change in Required Insurance may constitute a material breach of the Contract, in the sole discretion of the County, upon which the County may suspend or terminate this Contract.

#### 8.23.4 Failure to Maintain Insurance

Contractor's failure to maintain or to provide acceptable evidence that it maintains the Required Insurance shall constitute a material breach of the Contract, upon which County immediately may withhold payments due to Contractor, and/or suspend or terminate this Contract. County, at its sole discretion, may obtain damages from Contractor resulting from said breach. Alternatively, the County may purchase the Required Insurance, and without further notice to Contractor, deduct the premium cost from sums due to Contractor or pursue Contractor reimbursement.

- 8.23.5 Insurer Financial Ratings
  Coverage shall be placed with insurers acceptable to the
  COUNTY with A.M. Best ratings of not less than A:VII unless
  otherwise approved by COUNTY.
- 8.23.6 Contractor's Insurance Shall Be Primary
  CONTRACTOR's insurance policies, with respect to any claims
  related to this Contract, shall be primary with respect to all other
  sources of coverage available to CONTRACTOR. Any
  COUNTY maintained insurance or self-insurance coverage shall
  be in excess of and not contribute to any CONTRACTOR
  coverage.
- 8.23.7 Waivers of Subrogation

To the fullest extent permitted by law, the CONTRACTOR hereby waives its rights and its insurer(s)' rights of recovery against COUNTY under all the Required Insurance for any loss arising from or relating to this Contract. The CONTRACTOR shall require its insurers to execute any waiver of subrogation endorsements which may be necessary to effect such waiver.

8.23.8 Subcontractor Insurance Coverage Requirements
CONTRACTOR shall include all Subcontractors as insureds
under CONTRACTOR's own policies, or shall provide COUNTY
with each Subcontractor's separate evidence of insurance
coverage. CONTRACTOR shall be responsible for verifying
each Subcontractor complies with the Required Insurance
provisions herein, and shall require that each Subcontractor
name the COUNTY and CONTRACTOR as additional insureds
on the Subcontractor's General Liability policy. CONTRACTOR

shall obtain COUNTY's prior review and approval of any Subcontractor request for modification of the Required Insurance.

8.23.9 Deductibles and Self-Insured Retentions (SIRs)
CONTRACTOR's policies shall not obligate the COUNTY to pay
any portion of any CONTRACTOR deductible or SIR. The
COUNTY retains the right to require CONTRACTOR to reduce
or eliminate policy deductibles and SIRs as respects the
COUNTY, or to provide a bond guaranteeing CONTRACTOR's
payment of all deductibles and SIRs, including all related claims
investigation, administration and defense expenses. Such bond
shall be executed by a corporate surety licensed to transact
business in the State of California.

#### 8.23.10 Claims Made Coverage

If any part of the Required Insurance is written on a claims made basis, any policy retroactive date shall precede the effective date of this Contract. CONTRACTOR understands and agrees it shall maintain such coverage for a period of not less than three (3) years following Contract expiration, termination or cancellation.

8.23.11 Application of Excess Liability Coverage:

CONTRACTOR may use a combination of primary, and excess insurance policies which provide coverage as broad as ("follow form" over) the underlying primary policies, to satisfy the Required Insurance provisions.

#### 8.23.12 Separation of Insureds

All liability policies shall provide cross liability coverage as would be afforded by the standard ISO (Insurance Services Office, Inc.) separation of insureds provision with no insured versus insured exclusions or limitations.

8.23.13 Alternative Risk Financing Programs

The COUNTY reserves the right to review, and then approve, CONTRACTOR use of self-insurance, risk retention groups, risk purchasing groups, pooling arrangements and captive insurance to satisfy the Required Insurance provisions. The COUNTY and its Agents shall be designated as an Additional Covered Party under any approved program.

8.23.14 COUNTY Review and Approval of Insurance Requirements
The COUNTY reserves the right to review and adjust the
Required Insurance provisions, conditioned upon COUNTY's
determination of changes in risk exposures.

#### 8.24 INSURANCE COVERAGE REQUIREMENTS

8.24.1 Commercial General Liability insurance (providing scope of coverage equivalent to ISO policy form CG 00 01), naming COUNTY and its Agents as an additional insured, with limits of not less than:

General Aggregate: \$2 million
Products/Completed Operations Aggregate: \$1 million
Personal and Advertising Injury: \$1 million
Each Occurrence: \$1 million

- 8.24.2 Automobile Liability insurance (providing scope of coverage equivalent to ISO policy form CA 00 01) with limits of not less than \$1 million for bodily injury and property damage, in combined or equivalent split limits, for each single accident. Insurance shall cover liability arising out of CONTRACTOR's use of autos pursuant to this Contract, including owned, leased, hired, and/or non-owned autos, as each may be applicable.
- Workers Compensation and Employers' Liability insurance or 8.24.3 qualified self-insurance satisfying statutory requirements, which includes Employers' Liability coverage with limits of not less than \$1 million per accident. If CONTRACTOR will provide leased employees, or, is an employee leasing or temporary staffing firm or a professional employer organization (PEO), coverage also shall include an Alternate Employer Endorsement (providing scope of coverage equivalent to ISO policy form WC 00 03 01 A) naming the COUNTY as the Alternate Employer, and the endorsement form shall be modified to provide that COUNTY will receive not less than thirty (30) days advance written notice of cancellation of this coverage provision. If applicable to CONTRACTOR's operations, coverage also shall be arranged to satisfy the requirements of any federal workers or workmen's compensation law or any federal occupational disease law.
- 8.24.4 Professional Liability/Errors and Omissions Insurance covering CONTRACTOR's liability arising from or related to this Contract, with limits of not less than \$1 million per claim and \$2 million aggregate. Further, CONTRACTOR understands and agrees it shall maintain such coverage for a period of not less than three (3) years following this Agreement's expiration, termination or cancellation.

#### 8.25 APPROVAL OF WORK

All tasks, "work products" (deliverables), services or other work performed by COUNTY are subject to the written approval of the CONTRACTOR Project Manager or designee. Approval or rejection of deliverable(s) will not be unreasonably withheld by CONTRACTOR.

#### 8.26 NONDISCRIMINATION AND AFFIRMATIVE ACTION

- 8.26.1 The CONTRACTOR certifies and agrees that all persons employed by it, its affiliates, subsidiaries, or holding companies are and shall be treated equally without regard to or because of race, color, religion, ancestry, national origin, sex, age, physical or mental disability, marital status, or political affiliation, in compliance with all applicable Federal and State anti-discrimination laws and regulations.
- 8.26.2 The CONTRACTOR shall certify to, and comply with, the provisions of Exhibit C- Contractor's EEO Certification.
- 8.26.3 The CONTRACTOR shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to race, color, religion, ancestry, national origin, sex, age, physical or mental disability, marital status, or political affiliation, in compliance with all applicable Federal and State anti-discrimination laws and regulations. Such action shall include, but is not limited to: employment, upgrading, demotion, transfer, recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation. and selection for training. apprenticeship.
- 8.26.4 The CONTRACTOR certifies and agrees that it will deal with its subcontractors, bidders, or vendors without regard to or because of race, color, religion, ancestry, national origin, sex, age, physical or mental disability, marital status, or political affiliation.
- 8.26.5 The CONTRACTOR certifies and agrees that it, its affiliates, subsidiaries, or holding companies shall comply with all applicable Federal and State laws and regulations to the end that no person shall, on the grounds of race, color, religion, ancestry, national origin, sex, age, physical or mental disability, marital status, or political affiliation, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under this Contract or under any project, program, or activity supported by this Contract.
- 8.26.6 The CONTRACTOR shall allow COUNTY representatives access to the CONTRACTOR's employment records during regular business hours to verify compliance with the provisions of this sub-paragraph 8.26 when so requested by the COUNTY.
- 8.26.7 If the COUNTY finds that any provisions of this sub-paragraph 8.28 have been violated, such violation shall constitute a material breach of this Contract upon which the COUNTY may terminate or suspend this Contract. While the COUNTY reserves the right to determine independently that the anti-discrimination

provisions of this Contract have been violated, in addition, a determination by the California Fair Employment Practices Commission or the Federal Equal Employment Opportunity Commission that the CONTRACTOR has violated Federal or State anti-discrimination laws or regulations shall constitute a finding by the COUNTY that the CONTRACTOR has violated the anti-discrimination provisions of this Contract.

8.26.8 The parties agree that in the event the CONTRACTOR violates any of the anti-discrimination provisions of this Contract, the COUNTY shall, at its sole option, be entitled to the sum of Five Hundred Dollars (\$500) for each such violation pursuant to California Civil Code Section 1671 as liquidated damages in lieu of terminating or suspending this Contract.

#### 8.27 NON EXCLUSIVITY

Nothing herein is intended nor shall be construed as creating any exclusive arrangement with the CONTRACTOR. This Contract shall not restrict the COUNTY from providing similar, equal or like goods and/or services to other entities or sources.

#### 8.28 NOTICE OF DELAYS

Except as otherwise provided under this Contract, when either party has knowledge that any actual or potential situation is delaying or threatens to delay the timely performance of this Contract, that party shall, within one (1) business day, give notice thereof, including all relevant information with respect thereto, to the other party.

#### 8.29 NOTICE OF DISPUTES

The CONTRACTOR shall bring to the attention of the COUNTY Project Manager any dispute between the COUNTY and the CONTRACTOR regarding the performance of services as stated in this Contract. If the COUNTY Project Manager is not able to resolve the dispute, the CEO, or designee shall resolve it.

## 8.30 NOTICE TO EMPLOYEES REGARDING THE FEDERAL EARNED INCOME CREDIT

The CONTRACTOR shall notify its employees, and shall require each Subcontractor to notify its employees, that they may be eligible for the Federal Earned Income Credit under the federal income tax laws. Such notice shall be provided in accordance with the requirements set forth in Internal Revenue Service Notice No. 1015.

### 8.31 NOTICE TO EMPLOYEES REGARDING THE SAFELY SURRENDERED BABY LAW

The CONTRACTOR shall notify and provide to its employees, and shall require each Subcontractor to notify and provide to its employees, a fact sheet regarding the Safely Surrendered Baby Law, its implementation in Los Angeles COUNTY, and where and how to safely surrender a baby. The fact sheet is set forth in Exhibit F of this Contract and is also available on the Internet at www.babysafela.org for printing purposes.

#### 8.32 NOTICES

8.32.1 Notices required or permitted to be given under the terms of this Contract or by any law now or hereafter in effect may, at the option of the party giving notice, be given by personal delivery or by enclosing the same in a sealed envelope addressed to the party for whom intended and by depositing such envelope with postage prepaid in the United States Post Office or substation thereof, or any public mail box.

The notices and envelopes containing same to COUNTY shall be addressed to:

Carrie Miller, PhD
Chief Executive Office, Service Integration Branch
222 S. Hill Street, Fifth Floor
Los Angeles, CA 90012
(213) 974-4129

The notices and envelopes containing same to CONTRACTOR shall be addressed to:

Susan Lee, c/o Caneel Fraser Advancement Project 1910 W. Sunset Blvd, Suite 500 Los Angeles, CA 90026 Telephone No. 213-989-1300

8.32.2 In the event of suspension or termination of this Agreement, notices may also be given upon personal delivery to any person whose actual knowledge of such suspension or termination would be sufficient notice to CONTRACTOR.

#### 8.33 CONFIDENTIALITY

CONTRACTOR shall maintain the confidentiality of all data and records obtained under this Contract, including, but not limited to, billing, COUNTY records, case records and patient records, in accordance with all applicable Federal, State and local laws, regulations, ordinances and directives relating to confidentiality. CONTRACTOR shall inform all of its

officers, employees, and agents providing services hereunder of the confidentiality provisions of this Contract. As a condition of employment, all employees of CONTRACTOR must sign and adhere to the attached CONTRACTOR Employee Acknowledgment and Confidentiality Agreement (Exhibit D). Further, CONTRACTOR shall cause each non-employee performing services covered by this Contract to sign and adhere to the provisions of the CONTRACTOR Non-Employee Acknowledgment and Confidentiality Agreement (Exhibit G). These Confidentiality Agreements shall be filed in CONTRACTOR's personnel records for the employees and agents and CONTRACTOR shall provide a copy to COUNTY upon request.

#### 8.34 PUBLIC RECORDS ACT

- 8.34.1 This Contract and any documents related to it may be subject to disclosure pursuant to the California Public Records Act ("PRA") (California Government Code Section 6250 et seq.). Exceptions will be those records that meet the exceptions set forth in the PRA and which are marked "trade secret", "confidential", or "proprietary". The COUNTY shall not in any way be liable or responsible for the disclosure of any such records including, without limitation, those so marked, if disclosure is required by law, or by an order issued by a court of competent jurisdiction.
- 8.34.2 In the event the COUNTY is required to defend an action on a PRA request for any of the aforementioned documents, information, books, records, and/or contents of a proposal marked "trade secret", "confidential", or "proprietary", the CONTRACTOR agrees to defend and indemnify the COUNTY from all costs and expenses, including reasonable attorney's fees, in action or liability arising under the PRA.

#### 8.35 PUBLICITY

- 8.35.1 The CONTRACTOR shall not disclose any details, case records or information, in connection with this Contract to any person or entity except as may be otherwise provided hereunder or required by law. However, in recognizing the Contractor's need to identify its services and related clients to, sustain itself, the COUNTY shall not inhibit the CONTRACTOR from publishing its role under this Contract within the following conditions:
  - The CONTRACTOR shall develop all publicity material in a professional manner; and
  - During the term of this Contract, the CONTRACTOR shall not, and shall not authorize another to, publish or disseminate any commercial advertisements, press releases, feature articles, or other materials using the name of the COUNTY without the prior written consent of the COUNTY's Project Manager. The COUNTY shall not unreasonably withhold written consent.

- As discussed in Section 3, Work, CONTRACTOR shall not publish or otherwise use the data obtained under this Contract without the written approval of CEO. The data created and used in the performance of this Contract is the property of the COUNTY and shall remain de-identified and may only be used by CONTRACTOR for the sole purpose of the work described in Exhibit A-Statement of Work.
- 8.35.2 The CONTRACTOR may, without the prior written consent of COUNTY, indicate in its proposals and sales materials that it has been awarded this Contract with the County of Los Angeles, provided that the requirements of this sub-paragraph 8.35 shall apply.

#### 8.36 RECORD RETENTION AND INSPECTION/AUDIT SETTLEMENT

The CONTRACTOR shall maintain accurate and complete financial records of its activities and operations relating to this Contract in accordance with generally accepted accounting principles. The CONTRACTOR agrees that the COUNTY, or its representatives, shall have access to and the right to examine, audit, excerpt, copy, or transcribe any pertinent transaction, activity, or record relating to this Contract. All such material, including, but not limited to. proprietary data and information, shall be kept and maintained by the CONTRACTOR and shall be made available to the COUNTY during the term of this Contract and for a period of five (5) years thereafter unless the COUNTY's written permission is given to dispose of any such material prior to such time. All such material shall be maintained by the CONTRACTOR at a location in Los Angeles County, provided that if any such material is located outside Los Angeles County, then, at the COUNTY's option, the CONTRACTOR shall pay the COUNTY for travel, per diem, and other costs incurred by the COUNTY to examine, audit, excerpt, copy, or transcribe such material at such other location.

#### 8.37 RECYCLED BOND PAPER

Consistent with the Board of Supervisors' policy to reduce the amount of solid waste deposited at the COUNTY landfills, the CONTRACTOR agrees to use recycled-content paper to the maximum extent possible on this Contract.

#### 8.38 SUBCONTRACTING

8.38.1 The requirements of this Contract may not be subcontracted by the CONTRACTOR without the advance written approval of the COUNTY. Any attempt by the CONTRACTOR to subcontract without first obtaining prior written approval and the prior consent of the COUNTY may be deemed a material breach of this Contract.

- 8.38.2 If the CONTRACTOR desires to subcontract, the CONTRACTOR shall provide the following information promptly to the COUNTY:
  - A description of the work to be performed by the Subcontractor;
  - A draft copy of the proposed subcontract; and
  - Other pertinent information and/or certifications requested by the COUNTY.
- 8.38.3 The CONTRACTOR shall indemnify and hold the COUNTY harmless with respect to the activities of each and every Subcontractor in the same manner and to the same degree as if such Subcontractor(s) were the CONTRACTOR employees.
- 8.38.4 The CONTRACTOR shall remain fully responsible for all performances required of it under this Contract, including those that the CONTRACTOR has determined to subcontract, notwithstanding the COUNTY's approval of the CONTRACTOR's proposed subcontract.
- 8.38.5 The COUNTY's consent to subcontract shall not waive the COUNTY's right to prior and continuing approval of any and all personnel, including Subcontractor employees, providing services under this Contract. The CONTRACTOR is responsible to notify its Subcontractors of this COUNTY right.
- 8.38.6 The CONTRACTOR shall be solely liable and responsible for all payments or other compensation to all Subcontractors and their officers, employees, agents, and successors in interest arising through services performed hereunder, notwithstanding the COUNTY's consent to subcontract.
- 8.38.7 CONTRACTOR shall include all Subcontractors as insureds under Contractor's own policies, or shall provide COUNTY with each Subcontractor's separate evidence of insurance coverage. CONTRACTOR shall be responsible for verifying each Subcontractor complies with the required insurance provisions set forth in this Contract

# 8.39 TERMINATION FOR BREACH OF WARRANTY TO MAINTAIN COMPLIANCE WITH COUNTY'S CHILD SUPPORT COMPLIANCE PROGRAM

Failure of the CONTRACTOR to maintain compliance with the requirements set forth in sub-paragraph 8.12 - Contractor's Warranty of Adherence to COUNTY's Child Support Compliance Program, shall constitute default under this Contract. Without limiting the rights and remedies available to the COUNTY under any other provision of this Contract, failure of the CONTRACTOR to cure such default within ninety

(90) calendar days of written notice shall be grounds upon which the COUNTY may terminate this Contract pursuant to sub-paragraph 8.42 - Termination for Default and pursue debarment of the CONTRACTOR, pursuant to County Code Chapter 2.202.

# 8.40 TERMINATION FOR BREACH OF WARRANTY TO MAINTAIN COMPLIANCE WITH COUNTY'S DEFAULTED PROPERTY TAX PROGRAM

Failure of CONTRACTOR to maintain compliance with the requirements set forth in sub-paragraph 8.13 Contractor's Warranty of Compliance with COUNTY's Defaulted Property Tax Reduction Program, shall constitute default under this contract. Without limiting the rights and remedies available to COUNTY under any other provision of this contract, failure of CONTRACTOR to cure such default within 10 days of notice shall be grounds upon which COUNTY may terminate this contract and/or pursue debarment of CONTRACTOR, pursuant to County Code Chapter 2.206.

#### 8.41 TERMINATION FOR CONVENIENCE

- 8.41.1 This Contract may be terminated, in whole or in part, from time to time, when such action is deemed by the COUNTY, in its sole discretion, to be in its best interest. Termination of work hereunder shall be effected by notice of termination to the CONTRACTOR specifying the extent to which performance of work is terminated and the date upon which such termination becomes effective. The date upon which such termination becomes effective shall be no less than ten (10) days after the notice is sent.
- All material including books, records, documents, or other 8.41.2 evidence bearing on the costs and expenses of the CONTRACTOR under this Contract shall be maintained by the CONTRACTOR in accordance with sub-paragraph 8.36, Record Retention & Inspection/Audit Settlement. Subject to the provisions of the subparagraphs 8.41.1 COUNTY and CONTRACTOR shall negotiate an equitable amount to be paid to COUNTY by reason of the total or partial termination of work Said amount may include a pursuant to this Paragraph. reasonable allowance for profit on work done CONTRACTOR shall pay the agreed amount; subject to other limitations and provided that such amount shall not exceed the total funding obligated under this Agreement as reduced by the amount of payments otherwise made and as further reduced by the contract price of work not terminated.

#### 8.42 TERMINATION FOR DEFAULT

8.42.1 The COUNTY may, by written notice to the Contractor, terminate the whole or any part of this Contract, if, in the judgment of COUNTY's Project Manager:

- CONTRACTOR has materially breached this Contract; or
- CONTRACTOR fails to timely provide and/or satisfactorily perform any task, deliverable, service, or other work required either under this Contract; or
- CONTRACTOR fails to demonstrate a high probability of timely fulfillment of performance requirements under this Contract, or of any obligations of this Contract and in either case, fails to demonstrate convincing progress toward a cure within five (5) working days (or such longer period as the COUNTY may authorize in writing) after receipt of written notice from the COUNTY specifying such failure.
- 8.42.2 In the event that the COUNTY terminates this Contract in whole or in part as provided in sub-paragraph 8.42.1, the COUNTY may procure, upon such terms and in such manner as the COUNTY may deem appropriate, goods and services similar to those so terminated. The CONTRACTOR shall be liable to the COUNTY for any and all excess costs incurred by the COUNTY, as determined by the COUNTY, for such similar goods and services. The CONTRACTOR shall continue the performance of this Contract to the extent not terminated under the provisions of this sub-paragraph.
- Except with respect to defaults of any Subcontractor, the 8.42.3 CONTRACTOR shall not be liable for any such excess costs of the type identified in sub-paragraph 8.42.2 if its failure to perform this Contract arises out of causes beyond the control and without the fault or negligence of the Contractor. Such causes may include, but are not limited to: acts of God or of the public enemy, acts of the COUNTY in either its sovereign or contractual capacity, acts of Federal or State governments in their sovereign capacities, fires, floods, epidemics, quarantine restrictions, strikes, freight embargoes, and unusually severe weather; but in every case, the failure to perform must be beyond the control and without the fault or negligence of the CONTRACTOR. If the failure to perform is caused by the default of a Subcontractor, and if such default arises out of causes beyond the control of both the CONTRACTOR and Subcontractor, and without the fault or negligence of either of them, the CONTRACTOR shall not be liable for any such excess costs for failure to perform, unless the goods or services to be furnished by the Subcontractor were obtainable from other sources in sufficient time to permit the CONTRACTOR to meet the required performance schedule. As used in this paragraph 8.42, the terms "Subcontractor" and "Subcontractors" mean Subcontractor(s) at any tier.
- 8.42.4 If, after the COUNTY has given notice of termination under the provisions of this paragraph 8.42, it is determined by the COUNTY that the CONTRACTOR was not in default under the provisions of this paragraph 8.42, or that the default was

excusable under the provisions of sub-paragraph 8.42.3, the rights and obligations of the parties shall be the same as if the notice of termination had been issued pursuant to paragraph 8.41 - Termination for Convenience.

8.42.5 The rights and remedies of the COUNTY provided in this paragraph 8.42 shall not be exclusive and are in addition to any other rights and remedies provided by law or under this Contract.

#### 8.43 TERMINATION FOR INSOLVENCY

- 8.43.1 The COUNTY may terminate this Contract forthwith in the event of the occurrence of any of the following:
  - Insolvency of the CONTRACTOR. The CONTRACTOR shall be deemed to be insolvent if it has ceased to pay its debts for at least sixty (60) days in the ordinary course of business or cannot pay its debts as they become due, whether or not a petition has been filed under the Federal Bankruptcy Code and whether or not the CONTRACTOR is insolvent within the meaning of the Federal Bankruptcy Code;
  - The filing of a voluntary or involuntary petition regarding the CONTRACTOR under the Federal Bankruptcy Code;
  - The appointment of a Receiver or Trustee for the CONTRACTOR; or
  - The execution by the CONTRACTOR of a general assignment for the benefit of creditors.
- 8.43.2 The rights and remedies of the COUNTY provided in this paragraph 8.43 shall not be exclusive and are in addition to any other rights and remedies provided by law or under this Contract.

## 8.44 TERMINATION FOR NON-ADHERENCE OF COUNTY LOBBYIST ORDINANCE

The CONTRACTOR, and each County Lobbyist or County Lobbying firm as defined in County Code Section 2.160.010 retained by the CONTRACTOR, shall fully comply with the COUNTY's Lobbyist Ordinance, County Code Chapter 2.160. Failure on the part of the CONTRACTOR or any County Lobbyist or County Lobbying firm retained by the CONTRACTOR to fully comply with the COUNTY's Lobbyist Ordinance shall constitute a material breach of this Contract, upon which the COUNTY may in its sole discretion, immediately terminate or suspend this Contract.

#### 8.45 VALIDITY

If any provision of this Contract or the application thereof to any person or circumstance is held invalid, the remainder of this Contract and the

application of such provision to other persons or circumstances shall not be affected thereby.

#### 8.46 WAIVER

No waiver by the COUNTY of any breach of any provision of this Contract shall constitute a waiver of any other breach or of such provision. Failure of the COUNTY to enforce at any time, or from time to time, any provision of this Contract shall not be construed as a waiver thereof. The rights and remedies set forth in this paragraph 8.46 shall not be exclusive and are in addition to any other rights and remedies provided by law or under this Contract.

#### 8.47 WARRANTY AGAINST CONTINGENT FEES

- 8.47.1 The CONTRACTOR warrants that no person or selling agency has been employed or retained to solicit or secure this Contract upon any Contract or understanding for a commission, percentage, brokerage, or contingent fee, excepting bona fide employees or bona fide established commercial or selling agencies maintained by the CONTRACTOR for the purpose of securing business.
- 8.47.2 For breach of this warranty, the COUNTY shall have the right to terminate this Contract and, at its sole discretion, deduct from the Contract price or consideration, or otherwise recover, the full amount of such commission, percentage, brokerage, or contingent fee.

#### 8.48 COUNTY LOBBYISTS

Each County lobbyist as defined in Los Angeles County Code Section 2.160.010, retained by CONTRACTOR, shall fully comply with the COUNTY Lobbyist Ordinance, Los Angeles County Code Chapter 2.160. Failure on the part of any COUNTY lobbyist retained by CONTRACTOR to fully comply with the COUNTY Lobbyist Ordinance shall constitute a material breach of this Contract upon which COUNTY may immediately terminate or suspend this Contract. CONTRACTOR shall comply with all conflict of interest laws, ordinances and regulations now in effect or hereafter to be enacted during the term of this Agreement. CONTRACTOR warrants that it is not now aware of any facts which do or could create a conflict of interest. If CONTRACTOR hereafter becomes aware of any facts which might reasonably be expected to create a conflict of interest, it shall immediately make full written disclosure of such facts to COUNTY. Full written disclosure shall include, but is not limited to, identification of all persons implicated and a complete description of all relevant circumstances.

# 8.49 PROHIBITION FROM INVOLVEMENT IN THE BIDDING PROCESS OF FUTURE RFPs

CONTRACTOR understands and agrees that neither CONTRACTOR nor its subsidiaries shall be involved in any way in the bidding process on any Request for Proposals developed or prepared by or with the assistance of CONTRACTOR's services rendered pursuant to this Agreement, whether as a prime contractor or subContractor, or as a contractor to any other prime contractor or subContractor. Any such involvement by CONTRACTOR shall result in the rejection by COUNTY of the bid or proposal by the prime contractor in question

### 8.50 PROPRIETARY RIGHTS

All materials, data and other information of any kind obtained from COUNTY personnel under this Agreement are confidential to and are solely the property of COUNTY. CONTRACTOR shall take all necessary measures to protect the security and confidentiality of all such materials, data, reports and information. The provisions of this Paragraph shall survive the expiration or other termination of this Agreement.

### 8.51 COUNTERPARTS

This Agreement may be executed in counterparts, each of which so executed shall, irrespective of the date of its execution and delivery, be deemed an original, and all such counterparts together shall constitute one and the same instrument.

/// /// ///

||| ||| ||| AGREEMENT FOR ENTERPRISE LINKAGES PROJECT DATA MATCH FOR PROBATION OUTCOMES STUDY

AGREEMENT NUMBER: AO-14-027

IN WITNESS THEREOF, COUNTY has caused this Contract to be executed by the Chief Executive Officer. CONTRACTOR has caused this Contract to be executed by its duly authorized representative.

**COUNTY OF LOS ANGELES** 

WILLIAM T FUJIOKA

Chief Executive Officer

Date\_\_\_\_

APPROVED AS TO FORM: BY COUNTY COUNSEL

JOHN F. KRATTLI

KATHERINE M. BOWSER
Senior Deputy County Counsel

SUSAN LEE Advancement Project

AGREEMENT FOR ENTERPRISE LINKAGES PROJECT DATA MATCH FOR PROBAT	ION OUTCOMES STUDY
AGREEMENT NUMBER: AO-14-027	

**EXHIBIT A** 

#### STATEMENT OF WORK

### **Background**

The Advancement Project is conducting a Probation Outcomes study to evaluate the youth and their families' outcomes before, during and after they are in the care of the Probation Department of Los Angeles County. The study will evaluate the County services utilization by the youth and their families, and assess the utilization patterns for these services. The goal of the study is to improve the outcomes for the youth after they exit Probation care.

The CEO maintains departmental service data in the Enterprise Linkages Project (ELP) and can link Probation youth and their families to County services they utilized over the previous five years. The evaluation will examine services provided to the youth from any of the following County departments: Public Social Services (DPSS), Public Health (DPH), Mental Health (DMH), and Health Services (DHS).

### Methodology

The study will collect data on two cohorts of youth exiting the probation system during the same time frame (January 1st, 2011 to December 31st, 2011). One cohort is for youth exiting suitable placements and the second cohort is for youth exiting Probation juvenile camps.

CONTRACTOR will provide COUNTY with cohort data with all the required variables to conduct the data match. If the data required for the data match is not received within three (3) weeks prior to the first deliverable due date, the deliverable due date will be delayed based on scheduled workload.

COUNTY will conduct a data match between the two cohorts and de-identified data in ELP before, during and after the youth were in the care of the Probation Department. The two cohorts will be matched to services data from DPSS, DMH, DHS, and DPH. The services provided to the youth or their families during the five (5) years prior to their exit date from Probation, during the period of exit, and up to two (2) years after the exit date for the suitable placement cohort and one and a half year (1 1/2) years after exit date for the Camp cohort, through June 30<sup>th</sup>, 2013. The cohorts will be matched to select the service type and start and end date of the service provided. The additional data elements will be provided in a Microsoft Excel Spreadsheet format.

Table 1. shows the data elements and the time frame for the data match, the matched data will be provided in a Microsoft Excel Spreadsheet.

**Table 1. Data Definition** 

Data Source	Data Element	Dates
Department of Public Social Services	Youth or family enrollment in CalWORKs, CalFresh, and/or General Relief Programs. If a match has been enrolled in any of these programs, the dates for enrollment will be provided.	January 1, 2006 to June 30, 2013
Department of Health Services	If a case (youth or family member) received any type of service from DHS (inpatient, outpatient, and emergency room services) the dates for the start and end of the service will be provided for each service type.	January 1, 2006 to June 30, 2013
Department of Public Health	If a case (youth) received substance abuse services, the start and end dates of the service will be provided.	January 1, 2006 to June 30, 2013
Department of Mental Health	If a case (youth) received any type of service from DMH (inpatient or outpatient counseling) the dates for the start and end of the service will be provided for each service type.	January 1, 2006 to June 30, 2013

The data match will be de-identified, so as to conform to privacy and confidentiality laws. The data will be matched using the same methodology described in Exhibit-1 (Record Linkage Methodology) of the Enterprise Linkages Project Master Memorandum of Agreement.

### **EXHIBIT B**

### PRICING SCHEDULE

Deliverable	Due Date	Cost
1) CEO will process and match participants in the cohort data files provided by the CONTRACTOR with ELP data for youth and families' histories of welfare receipt during the time frame of January 1, 2006 through June 30, 2013.	December 2013	\$25,000
a) CalWORKs b) CalFresh c) General Relief		
If a case was enrolled in any of these programs, the dates of start and end date of enrollment will be provided.		
<ul> <li>2) CEO will match the two cohort data files to the DHS, DPH and DMH services data in the ELP to provide youth and family history of services during the time frame of January 1, 2006 through June 30, 2013.</li> <li>If a case (youth or family) received any type of service from DHS (inpatient, outpatient, emergency services), DPH substance abuse, or DMH (inpatient or outpatient counseling) the start and end dates of the service will be provided for each service type.</li> </ul>	January 2014	\$30,000
3) After Contractor completes the <b>draft</b> report, CEO will review report and provide written feedback within thirty (30) days.	December 2014	\$5,000
Contractor shall give due consideration to CEO's requests for changes and to work with the CEO to accurately capture the data findings in the final report. If Contractor and County do not agree on requested changes, Contractor will include in all reports and publications issued as part of this study the County's objections and rebuttal statements		
Total		\$60,000

### **EXHIBIT C**

### **CONTRACTOR'S EEO CERTIFICATION**

	Advancement Project	
	Contractor Name 1910 W. Sunder Blud (1810, Los Angels)	C49026
	Address 97-4835230	
	Internal Revenue Service Employer Identification Number	
	GENERAL CERTIFICATION	
	In accordance with Section 4.32.010 of the Code of the County of Los Angeles, the contractor, supplier, or vendor certifies and agrees that all persons employed by such firm, its affiliates, subsidiaries, or holding companies are and will be treated equally by the firm without regard to or because of race, religion, ancestry, national origin, or sex and in compliance with all anti-discrimination laws of the United States of America and the State of California.	
	CONTRACTOR'S SPECIFIC CERTIFICATIONS	
	<ol> <li>The Contractor has a written policy statement prohibiting         discrimination in all phases of employment.</li> </ol>	
	2. The Contractor periodically conducts a self analysis Yes ☑ No ☐ or utilization analysis of its work force.	
	3. The Contractor has a system for determining if Yes ☑ No ☐ its employment practices are discriminatory against protected groups.	
	4. Where problem areas are identified in employment Yes ☑ No ☐ practices, the Contractor has a system for taking reasonable corrective action, to include establishment of goals or timetables.	
	Joinge Jimenez Director of HR, Finance & Authorized Official's Printed Name and Title	Admin
<	Authorized Official's Signature Date	<del></del>
	Authorized Official's Signature Date	

EXHIBIT D Page 1 of 2

# CONTRACTOR EMPLOYEE ACKNOWLEDGMENT AND CONFIDENTIALITY AGREEMENT

General Information
Your employer, AP, has entered into a contract with the County of Los Angeles to acquire various services from the County. Therefore, we need your signature on this Contractor employee acknowledgment and confidentially agreement.
Employer Acknowledgment
I understand that is my sole employer for purposes of this Agreement.
I understand and agree that I am not an employee of Los Angeles County for any purpose and that I do not have and will not acquire any rights or benefits of any kind from the County of Los Angeles during the period of this employment.
I understand and agree that I do not have and will not acquire any rights or benefits pursuant to any agreement between my employer,, and the County o Los Angeles.
Gw 12 18 (Initial and date)
Confidentiality Agreement
As an employee of, you may be involved with work pertaining to County services, and, if so, you may have access to confidential data pertaining to persons and/or entities represented by the County of Los Angeles. The County has a legal obligation to protect all confidential data in its possession, especially data concerning health, criminal and welfare recipient as well as that protected by the attorney/client privilege. Consequently, you must sign this Confidentiality Agreement for the County of Los Angeles.
Please read the attached Agreement and take due time to consider it prior to signing.

EXHIBIT D Page 2 of 2

# CONTRACTOR EMPLOYEE ACKNOWLEDGMENT AND CONFIDENTIALITY AGREEMENT

I hereby agree that I will not divulge to any unauthorized person, data obtained pursuant to the contract between Advancement Project and the County of Los Angeles.

I agree to forward all requests for the release of information received by me to my immediate supervisor.

I have been informed by my employer of Article 9 of Chapter 4 of Division 3 (Commencing with 6150) of the California Business and Professions Code (i.e. State Bar Act provisions regarding unlawful solicitations as a runner or capper for attorneys) which states:

"... It is unlawful for any person, in his individual capacity or in his capacity as a public or private employee, or for any firm, corporation or partnership or association to act as a runner or capper for any such attorneys to solicit any business for such attorneys..."

I have also been informed by my employer of Labor Code Section 3219 (i.e. provisions stating it is a felony to offer compensation to claims adjusters and/or for adjusters to accept compensation) which states:

"... any person acting individually or through his or her employee or agents, who offers or delivers any rebate, refund, commission, preference, patronage, dividend, discount, or other consideration to any adjuster of claims for compensation, as defined in Section 3207, as compensation, inducement, or reward for the referral or settlement of any claim, is guilty of a felony..."

I agree to report any and all violations of the above by any other person and/or by myself to my immediate supervisor, and I agree to ensure that said supervisor reports such violation to the County of Los Angeles, Department of Human Resources. I agree to return all confidential materials to my immediate supervisor upon termination of my employment with the Advancement Project or upon completion of the presently assigned work task, whichever occurs first.

I acknowledge that violation of this Agreement & Acknowledgment may subject me to civil and/or criminal action and that the County of Los Angeles will seek all possible legal redress.

(Signature	oma J	<del>-</del>	_	Dated 17/18/3	
	Susan K.				
Position/Title	National	Direction	7	Urban Peace	_
			-		

**EXHIBIT E** 

# INVITATION FOR BID/REQUEST FOR PROPOSAL GROUNDS FOR REJECTION

Los Angeles County Code Chapter 2.180.010, Certain Contracts Prohibited, sets forth, among other things, the following:

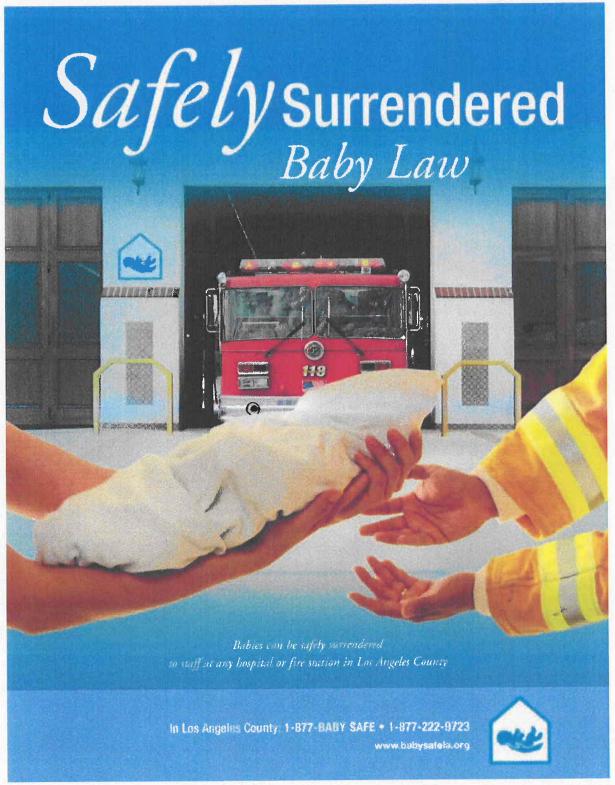
Notwithstanding any other section of this Code, the County shall not contract with, and shall reject any bid or proposal submitted by the persons or entities specified below, unless the Board of Supervisors finds that special circumstances exist which justify the approval of such contract:

- a) Employees of the County or of public agencies for which the Board of Supervisors is the governing body;
- b) Profit making firms or businesses in which employees described in subsection (a) serve as officers, principals, partners or major shareholders;
- c) Persons who, within the immediately preceding twelve (12) months, came within the provisions of subsection (a), and who (1) were employed in positions of substantial responsibility in the area of service to be performed by the Contractor, or (2) participated in any way in developing the Contract or its service specifications; and
- d) Profit making firms or businesses in which the former employees described in subsection (c) serve as officers, principals, partners or major shareholders.

Contractor hereby certifies that personnel who developed and/or participated in the preparation of the Contract do not fall within the scope of Code Section 2.180.010 as outlined above.

Typed Name and Title of Sig	National	Director 1	4-7	a Peace
Typed Name and Title of Sig	ner	•		
Enrus	<del>-</del>	(2	18	13
Signature		Date		

**EXHIBIT F** 



in Los Angeles County 1-877-848Y SAFE \* 1 477-222 9723

www.bab/safikki.o/g

# Safely Surrendered Baby Law



Balines com
by suffice
sus rendered
sus still at any
hospital or five
station in
Los Angeles

What is the Safety Surrendered Raby Law?

California's Safely Surrendered Baby Law allows parents or other persons, with lawful custody, which means anyone to whom the parent has given permission to confidentially surrender a baby. As long as the baby is three days (72 hours) of age or younger and has not been abused or neglected, the baby may be surrendered without fear of arrest or prosecution.

Every buby deserves a chance for a healthy life. If someone you know is considering abundaning a buby, let her know there are other options. For three days (72 hours) after birth, a buby can be surrendered to staff at any hospital or fire station in Los Angeles County.

#### How does it work?

A distressed parent who is unable or unwilling to care for a baby can legally. contidentially, and safely surrender a baby within three days (72 hours) of bitth. The baby must be handed to an employee at a hospital or fire station in Los Angeles County. As long as the baby shows no sign of abuse or neglect, no name or other information is required. In case the parent changes his or her mind at a later date and wants the buby back, staff will use bracelets to help connect them to each other. One braceler will be placed on the baby, and a matching bracelet will be given to the parent or other surrendering adult.

### What if a parent wants the baby back?

Parents who change their minds can begin the process of reclaiming their baby within 14 days. These parents should call the Los Angeles County Department of Children and Family Services at 1-800-540-4000.

## Can only a parent bring in the baby?

No. While in most cases a parent will bring in the baby, the Law allows other people to bring in the baby if they have lawful custody.

# Does the parent or surrendering adult have to call before bringing in the baby?

No. A parent or surrendering adult can bring in a baby anytime, 24 hours a day, 7 days a week, as long as the parent or surrendering adult surrenders the baby to someone who works at the hospital or fire station.

# Does the parent or surrendering adult have to tell anything to the people taking the baby?

No. However, hospital or fite station personnel will ask the surrendering party to fill out a questionnaire designed to gather important medical history information, which is very useful in caring for the baby. The questionnaire includes a business reply envelope and can be sent in at a later time.

#### What happens to the baby?

The baby will be examined and given medical treatment, Upon release from the hospital, social workers immediately place the baby in a safe and loving home and begin the adoption process.

### What happens to the parent or surrendering adult?

Once the parent or surrendering adult surrenders the baby to hospital or fire station personnel, they may leave at any time.

#### Why is California doing this?

The purpose of the Safely Surrendered Baby Law is to protect babies from being ahandoned, hurt or killed by their parents. You may have heard tragic stories of babies left in dumpsters or public bathrooms. Their parents may have been under severe emotional distress. The mothers may have hidden their pregnancies, featful of what would happen if their families found our. Because they were afraid and had no one or nowhere to turn for help, they abandoned their babies. Abandoning a baby is illegal and places the baby in extreme danget. Too often, it results in the baby's death. The Safely Surrendered Baby Law prevents this tragedy from ever happening again in California.

# A baby's story

Early in the morning on April 9, 2005, a healthy baby boy was safely surrendered to nurses at HarborUCLA Medical Center The woman who brought the baby to the hospital identified herself as the baby's aunt
and stated the baby's mother had asked her to bring the baby to the hospital on her behalf. The aunt was given a
bracelet with a number matching the anklet placed on the baby; this would provide some identification in the event the
mother changed her mind about surrendering the baby and wished to reclaim the baby in the 14-day period allowed by the
Law. The aunt was also provided with a medical questionnaire and said she would have the mother complete and mail back in
the stamped return envelope provided. The baby was examined by medical staff and pronounced healthy and full-term. He was placed
with a loving family that had been approved to adopt him by the Department of Children and Family Services.

**EXHIBIT G** 

### CONTRACTOR NON-EMPLOYEE ACKNOWLEDGEMENT AND CONFIDENTIALITY AGREEMENT

Contractor Name	 Contract No	
Non-Employee Name		
	-	

### **GENERAL INFORMATION:**

The Contractor referenced above has entered into a contract with the County of Los Angeles to obtain certain services from the County. The County requires your signature on this Contractor Non-Employee Acknowledgement and Confidentiality Agreement.

### NON-EMPLOYEE ACKNOWLEDGEMENT:

I understand and agree that the Contractor referenced above has exclusive control for purposes of the above-referenced contract. I understand and agree that I must rely exclusively upon the Contractor referenced above for payment of salary and any and all other benefits payable to me or on my behalf by virtue of my performance of work under the above-referenced contract.

I understand and agree that I am not an employee of the County of Los Angeles for any purpose whatsoever and that I do not have and will not acquire any rights or benefits of any kind from the County of Los Angeles by virtue of my performance of work under the above-referenced contract. I understand and agree that I do not have and will not acquire any rights or benefits from the County of Los Angeles pursuant to any agreement between any person or entity and the County of Los Angeles.

I understand and agree that I may be required to undergo a background and security investigation(s). I understand and agree that my continued performance of work under the above-referenced contract is contingent upon my passing, to the satisfaction of the County, any and all such investigations. I understand and agree that my failure to pass, to the satisfaction of the County, any such investigation shall result in my immediate release from performance under this and/or any future contract.

#### **CONFIDENTIALITY AGREEMENT:**

I may be involved with work pertaining to services provided by the County of Los Angeles and, if so, I may have access to confidential data and information pertaining to persons and/or entities receiving services from the County. In addition, I may also have access to proprietary information supplied by other vendors doing business with the County of Los Angeles. The County has a legal obligation to protect all such confidential data and information in its possession, especially data and information concerning health, criminal, and welfare recipient records. I understand that if I am involved in County work, the County must ensure that I, too, will protect the confidentiality of such data and information. Consequently, I understand that I must sign this agreement as a condition of my work to be provided by the above-referenced Contractor for the County. I have read this agreement and have taken due time to consider it prior to signing.

AGREEMENT FOR ENTERPRISE LINKAGES PROJECT DATA MATCH FOR PROBATION OUTCOMES STUDY

AGREEMENT NUMBER: AO-14-027

I hereby agree that I will not divulge to any unauthorized person any data or information obtained while performing work pursuant to the above-referenced contract between the above-referenced Contractor and the County of Los Angeles. I agree to forward all requests for the release of any data or information received by me to the above-referenced Contractor.

I agree to keep confidential all health, criminal, and welfare recipient records and all data and information pertaining to persons and/or entities receiving services from the County, design concepts, algorithms, programs, formats, documentation, Contractor proprietary information, and all other original materials produced, created, or provided to or by me under the above-referenced contract. I agree to protect these confidential materials against disclosure to other than the above-referenced Contractor or County employees who have a need to know the information. I agree that if proprietary information supplied by other County vendors is provided to me, I shall keep such information confidential.

I agree to report to the above-referenced Contractor any and all violations of this agreement by myself and/or by any other person of whom I become aware. I agree to return all confidential materials to the above-referenced Contractor upon completion of this contract or termination of my services hereunder, whichever occurs first.

SIGNATURE: _		·		
PRINTED NAME:_	<del></del> .			 · 
POSITION:			 	

### W. M. Keck Foundation

550 South Hope Street Suite 2500

213 680-3833 www.wmkeck.org Suite 2500 Los Angeles California 90071

June 15, 2012

Ms. Molly Munger Co-Director Advancement Project 1910 Sunset Boulevard, Suite 500 Los Angeles, CA 90026

Dear Ms. Munger:

Thank you for submitting your proposal to the Southern California Grants Program for consideration by the Board of Directors of the W. M. Keck Foundation during its June 2012 grant cycle. The Board of Directors recently met, and we are pleased to inform you that a grant in the amount of Two Hundred Fifty Thousand Dollars (\$250,000) has been awarded to ADVANCEMENT PROJECT for the project titled Analyzing and Improving the Los Angeles County Probation Department Juvenile Justice System. This grant is being approved by the Foundation in reliance on the statements, representations, criteria, and objectives set forth in your proposal and related materials, all of which are incorporated in and made a part of this grant agreement.

Enclosed you will find our check in the amount of Two Hundred Fifty Thousand Dollars (\$250,000) representing payment in full. You will also find the Foundation's Grantee Responsibilities and a preprinted postcard. Please acknowledge receipt of this grant payment and the Grantee Responsibilities by completing and returning the enclosed postcard. In accepting this award, you are consenting to comply with the Foundation's requirements for awarded grants. Furthermore, the Foundation anticipates that you will honor, as appropriate, all offers of recognition for its support that were contained in your proposal or as otherwise approved by the Foundation. The first routine written progress report on grant activities and expenditures is expected at the Foundation no later than July 31, 2013. Information about reporting procedures, deadlines, submittal, and the required reporting form for download is available on our website at <a href="http://www.wmkeck.org/grant-programs/reporting-requirements.html">http://www.wmkeck.org/grant-programs/reporting-requirements.html</a>.

### W. M. Keck Foundation

Ms. Molly Munger Advancement Project June 15, 2012 Page 2

We are pleased to support your endeavor. Should you have any questions concerning this grant, please direct all communications to the Program Director.

Sincerely,

Robert A. Day

Chairman and Chief Executive Officer

Doro Thy Fleisher Ph.D.

Dorothy Fleisher, Ph.D.

Program Director

Enclosures DF:sk

cc:

Mr. John Kim

Ms. Constance Rice

Ms. Susan Lee

Ms. Caitlin Kosec

### W. M. Keck Foundation

### **Grantee Responsibilities**

Potential applicants are advised that by submitting a request they are consenting to comply with the following requirements if a grant is awarded:

The grantee, as a condition of accepting the funds, affirms that the entire proceeds will be used to implement the charitable purposes of the project for which the grant is made. No overhead, indirect, or investment management fees may be charged to this award. The grantee further affirms that no funds have been or will be paid to neither organization nor consultants engaged in fundraising or public relations for services rendered in obtaining the grant.

Should the grantee (a) become aware that the purposes for (and or implementation of) this grant have become impracticable, (b) wish to terminate the charitable project funded, (c) wish to redirect the purpose of the grant, or (d) make a change in lead personnel, the grantee shall immediately notify the Foundation in writing. In such event, the Foundation may, in its sole discretion, among its other rights and remedies, terminate this grant and require the grantee to immediately repay to the Foundation any unspent principal and accrued interest to date of repayment.

Should the grantee wish to make alterations to the approved grant budget totaling 10% or more in any budget category, prior approval from the Foundation is required.

Grant funds shall be placed in an interest-bearing account until they are needed for the project for which the grant was made. The accrued interest must also be used only to support that project.

Written progress reports and a financial accounting on the earnings and expenditure of grant funds must be submitted to the Foundation. Report forms and schedules will be provided by the Foundation.

The grantee is to secure Foundation approval in writing prior to releasing any public announcements or statements to the media regarding the grant, referencing it in internally produced publications, or making any commitments for permanent recognition of the W.M. Keck Foundation. Foundation staff is happy to assist in developing such material. The Foundation reserves the right to publish the abstract submitted by the grantee.

If a matching grant is awarded, the grantee is required to secure the matching funds necessary to fully implement the project. Upon raising the match, the grantee is asked to provide the Foundation with a detailed accounting of the sources of the matching gifts. Government grants, bonds, the institution's endowment or other existing support do not qualify as a match.

If a grant for endowment is awarded, the principal must be maintained in perpetuity and earnings will be used solely to support the purpose for which the grant was made.

For endowed fellowships, chairs, and similar awards, the grantee is asked to provide the Foundation with periodic reports on the selection process, the progress of the search, and the names and backgrounds of those receiving the awards. However, the Foundation does not wish to influence the selection in any way.

This grant agreement is deemed to have been entered into in the County of Los Angeles, State of California; and, California law shall apply to the interpretation and enforcement of the grant provisions.

The Foundation will monitor and assess the impact of prior grants to help determine the Foundation's future program objectives.